



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Anatoliy Savchenkov et al. Art Unit: 2872
Serial No.: 10/772,218
Filed : February 3, 2004
Title : TUNABLE OPTICAL FILTERS HAVING ELECTRO-OPTIC
WHISPERING GALLERY MODE RESONATORS

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants call attention to the attached Information
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This filing is being made before the receipt of a first
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Consideration of the foregoing and enclosures plus the
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Examiner's initials in the left column per MPEP 609 are
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Respectfully submitted,

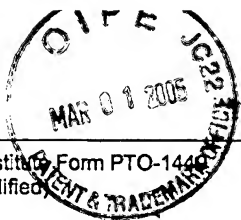


Date: February 24, 2005

Scott C. Harris
Reg. No. 32,030

By Bing Ai
Reg. No. 43,31

Fish & Richardson P.C.
USPTO Customer No. 20985
12390 El Camino Real
San Diego, CA 92130
Telephone: (858) 678-5070
Facsimile: (858) 678-5099



Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06618-928001	Application No. 10/772,218
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Anatoliy Savchenkov et al.	
		Filing Date February 3, 2004	Group Art Unit 2872

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5723856	3/3/1998	Yao, et al.			
	AB	5777778	7/7/1998	Yao			
	AC	5917179	6/29/1999	Yao			
	AD	5929430	7/27/1999	Yao, et al.			
	AE	6138076	3/18/2003	Yao			
	AF	2002/0018611	2/14/2002	Maleki, et al.			
	AG	6389197	5/14/2002	Iltchenko, et al.			
	AH	6417957	7/9/2002	Yao			
	AI	6473218	10/29/2002	Maleki, et al.			
	AJ	6476959	11/5/2002	Yao			
	AK	6487233	11/26/2002	Maleki, et al.			
	AL	6488861	12/3/2002	Iltchenko, et al.			
	AM	6490039	12/3/2002	Maleki, et al.			
	AN	2003/0012504	1/16/2003	Iltchenko			
	AO	6535328	3/18/2003	Yao			
	AP	6567436	5/20/2003	Yao, et al.			
	AQ	6580532	6/17/2003	Yao, et al.			
	AR	6594061	7/15/2003	Huang, et al.			
	AS	6762869	7/13/2004	Maleki, et al.			
	AT						

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AU	WO0196936	12/20/2001	WIPO				
	AV							
	AW							
	AX							

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AY							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AZ	Dan Sadot, et al.; Tunable Optical Filters for Dense WDM Networks; Dec. 1998; IEEE Communications Magazine, pps. 50-55
	AAA	Jaafar M.H. Elmirghani, et al.; Technologies and Architectures for Scalable Dynamic Dense WDM Networks; Feb. 2000; IEEE Communications Magazine, pps. 58-66
	ABB	Vladimir S. Ilchenko, et al.; Whispering-gallery-mode electro-optic modulator and photonic microwave receiver; Feb. 2003; J. Opt. Soc. Am. B, Vol. 20, No. 2, pps. 333-342
	ACC	L.E. Myers, et al.; Quasi-phase-matched optical parametric oscillators in bulk periodically poled LiNbO ₃ ; November 1995; J. Opt. Soc. Am. B/Vol. 12, No. 11; pps. 2102-2116

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